

**1.1.6 Notice of Commission Approval –
Housekeeping Amendment to MFDA Rule 2.2.1
Regarding “Know-Your-Client” Requirements**

THE MUTUAL FUND DEALERS ASSOCIATION (MFDA)

**AMENDMENT TO MFDA RULE 2.2.1
REGARDING “KNOW-YOUR-CLIENT” REQUIREMENTS**

NOTICE OF COMMISSION APPROVAL

The Ontario Securities Commission approved the amendment to MFDA Rule 2.2.1 regarding “Know-Your-Client” requirements. In addition, the Alberta Securities Commission, Nova Scotia Securities Commission and Saskatchewan Financial Services Commission approved, and the British Columbia Securities Commission did not object to the amendment. The amendment clarifies that the “Know-Your-Client” and suitability obligations of Rule 2.2.1 apply to both Members and Approved Persons. The amendment is housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.